



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/625,586	07/26/2000	Jason T. Sterne	14004100291	8281

25697 7590 11/19/2003

ROSS D. SNYDER & ASSOCIATES, INC.  
115 WILD BASIN RD.  
SUITE 107  
AUSTIN, TX 78746

EXAMINER

ODLAND, DAVID E

ART UNIT	PAPER NUMBER
2662	

DATE MAILED: 11/19/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/625,586

Applicant(s)

STERNE ET AL.

Examiner

David Odland

Art Unit

2662

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_ 6) ☐ Other:

## DETAILED ACTION

### *Claim Rejections - 35 USC § 112*

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

2. Claims 1-16, 23, 24, 32 and 33 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 recites "...the input connection identifier..." in line 15. There is a lack of antecedent basis for this limitation in the claim.

Claim 3 recites "...wherein forwarding cells included in the packet..." in line 3. It is unclear how cells can be included in a packet.

Claims 2-16 are rejected because they depend on rejected claim 1.

Claim 23 recites "...selecting a selected virtual connection of a plurality of virtual connections..." in line 3. This limitation is confusing since there is no previous mention of any selecting any virtual connections and it is unclear why a selected VC is further being selected (i.e. 'selecting a selected' VC).

Claim 24 is rejected because it depends on claim 23.

Claim 32 recites "...the ingress line card selects the selected transport interface by selecting the transport interface group based...and by selecting the selected transport interface from the plurality of transport interface..." in lines 1-4. This claim is confusing; it is unclear what is being selected and how the selection is determined.

Claim 33 is rejected because it depends on claim 32.

Art Unit: 2662

*Claim Rejections - 35 USC § 102*

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1,2,6,7,17,22,23 and 25, as best understood, are rejected under 35 U.S.C. 102(e) as being anticipated by Puntambekar et al. (USPN 6,097,726), hereafter referred to as Puntambekar.

Referring to claims 1,2,6,7,17,22,23 and 25, Puntambekar discloses a multi-protocol switch (an ATM and IP switch (see figure 4)), comprising a plurality of egress line cards, wherein each of the plurality of egress line cards supports at least one egress connection (the switch comprises a plurality of egress line cards (see figure 4 and columns 5 and 6)), a switching fabric operably coupled to the plurality of egress line cards; and an ingress line card operably coupled to the switching fabric (the cards are part of a network switch (see figure 4)), wherein the ingress line card receives cells over a plurality of ingress connections (each switch receives ATM cells (see figure 4 and column 9 line 59 through column 10 line 26)), wherein each cell includes an ingress connection identifier (each cell includes a VPI (see figure 4 and column 9 line 59 through column 10 line 26)), wherein the ingress line card determines a cell protocol for each cell based on the ingress connection identifier corresponding to the cell (the ingress card determines if it is to act as a leaf switch or a root switch depending on the VPI (see figure 4 and

Art Unit: 2662

column 9 line 59 through column 10 line 26)), wherein when the cell protocol is a first protocol, the ingress line card determines a forwarding decision based on the input connection identifier for the cell (if the switch is to act as a leaf switch it forwards the cell by changing the VPI (see figure 4 and column 9 line 59 through column 10 line 26)), wherein when the cell protocol is a second protocol, the ingress line card determines the forwarding decision based on a destination address for a packet to which the cell corresponds (if the switch is to act as a root switch it collects the corresponding cells that make up a packet and forwards the packet according to the destination address of the packet (see figure 4 and column 9 line 59 through column 10 line 26)), wherein the ingress line card forwards at least a portion of the cell over the switching fabric to at least one of the plurality of egress line cards based on the forwarding decision (the cells and/or frames are forwarded based on the protocol determination to be output from the switching node to either the next leaf switch or the destination node (see figure 4 and column 9 line 59 through column 10 line 26));

wherein the ingress line card includes a lookup table (the ingress card uses a VC data structure array to make the forwarding decisions (see column 5 lines 40-67)), wherein the lookup table stores protocol information for each ingress connection of the plurality of ingress connections (the VC data structure includes VC entries which are related to particular protocols (see column 6)), wherein the ingress line card references the lookup table to determine the cell protocol for each cell received (the ingress card uses the VC entries to determine if the switch is a root of leaf switch (see figure 4 and column 9 line 59 through column 10 line 26));

a plurality of transport interfaces between the ingress line card and the plurality of egress line cards, wherein each transport interface of the plurality of transport interfaces provides a route

Art Unit: 2662

from the ingress line card across the switching fabric to a destination egress line card of the plurality of egress line cards, wherein determining a forwarding decision based on the destination address include determining a selected transport interface of the plurality of transport interfaces (inherently, the ingress and egress cards of the switch have related transport interfaces which are used when the cells are switched between them (see figure 4));

a transport interface group (TIG) between the ingress line card and a first egress line card of the plurality of egress line cards, wherein the TIG includes a plurality of transport interfaces, wherein each transport interface of the plurality of transport interfaces is characterized by a plurality of transport parameters, herein determining a forwarding decision based on the destination address includes selecting a transport interface of the plurality of transport interfaces based on a class of service associated with the cell (inherently, there are transport interfaces between the plurality of cards of the switches and when the packet is reassembled and forwarded to the destination a transport interface is used to do so. Note the switch could not work without transport interfaces (see figure 4)).

forwarding the cell across a switching fabric to at least one selected egress line card of a plurality of egress line cards (the cards are in a switch wherein ingress cards are switched to egress cards (see figure 4)).

when the cell protocol is the first protocol, forwarding the cell across the switching fabric further comprises selecting a selected virtual connection of a plurality of virtual connections across the switching fabric to the selected egress line card and forwarding the cell using the selected virtual connection (when it is determined that a switch is a leaf switch it forwards the cells on according to the VPI (see figure 4 and column 9 line 59 through column 10 line 26)).

Art Unit: 2662

when the cell protocol is the second protocol, forwarding the cell across the switching fabric further comprises selecting a selected transport interface of a plurality of transport interfaces across the switching fabric to the selected egress line card and forwarding the cell using the selected transport interface (when the switch is a root switch the reassembled packet is inherently sent over a transport interface to an egress card so that it can be forwarded to its destination (see figure 4 and column 9 line 59 through column 10 line 26)).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 3-5, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Puntambekar in view of Honda et al. (USPN 6,147,999), hereafter referred to as Honda.

Referring to claim 3, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses that when the cell protocol is the second protocol, the ingress switch at least partially assembles the packet to which the cell corresponds to produce a reassembled packet (the ingress card reassembles the cells that make up the packet back into a complete packet (see column 9 and 10)) and forwarding the packet through the switch to the egress card according to the destination address of the packet (see columns 9 and 10)). Puntambekar does not disclose that the ingress line card segments the reassembled packet to produce segmented cells. However, Honda discloses a teaches of a prior art method wherein a packet that has been

Art Unit: 2662

transported by a plurality of cells is reassembled and then segmented again in order to be forwarded on (see column 1 lines 35-45)). It would have been obvious to one skilled in the art at the time of the invention to perform such operations in the Puntambekar system because doing so would improve bandwidth efficiency. Namely, since packets vary in length, very long one may starve other smaller packets of the available bandwidth, therefore breaking the packets into smaller fixed sized segments (such as ATM cells) will help improve the bandwidth efficiency.

Referring to claim 4, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses that when an egress line card of the plurality of egress line cards receives segmented cells, the egress line card reassembles the segmented cells to produce an egress packet, wherein the egress line card forwards the egress packet over at least one selected egress connection based on the destination address for the packet from which the segmented cells were derived. The packet cells are reassembled into a complete packet and forwarded on based on the destination address of the packet (see column 10)).

Referring to claim 5, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses forwarding the cells over the switching fabric further comprises forwarding control information with the cells, wherein the control information is used in the determination of the at least one selected egress connection (inherently, the VPI, VCI value are transmitted with the cells as they are transported through the switch and used to route the cells to the proper egress port to be forwarded, thus these values are used for controlling where the cells travel (see figure 4 and columns 9 and 10)). Note, as in claim 3, Puntambekar does not disclose that the cells are cells from a segmented packet, thus see claim 3 for the combination of Puntambekar with Honda.



7. Claims 8-12,18,19,24,26 and 31-33, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Puntambekar in view of Zheng et al. (USPN 6,611,522), hereafter referred to as Zheng.

Referring to claims 8,12,24 and 26, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses that cells of the first protocol are forwarded over the switching fabric to at least one of the plurality of egress line cards using virtual connections within the multi-protocol switch (the cells are forwarded through the switch based on the VPI values (see column 9)). Puntambekar does not disclose that each of the virtual connections is characterized by a plurality of connection parameters, wherein the plurality of connection parameters for a selected virtual connection characterize a class of service provided across the virtual connection or that the transport interfaces are grouped according to the class of service. However, Zheng discloses a system for transporting ATM traffic wherein the ATM connections are given Quality-Of-Service (QOS) requirements that have corresponding parameters (see column 1 lines 33-67)). It would have been obvious to one skilled in the art at the time of the invention to implement the QOS element taught by Zheng in the Puntambekar system because as Zheng point out in column 1 lines 40-49, different types of network traffic require different transmission requirements such as avoiding echoes in voice connections. Thus implementing QOS in Puntambekar would make Puntambekar a more versatile and reliable system.

Referring to claims 9 and 33, Puntambekar discloses the system discussed above. Puntambekar does not disclose that the ingress line card includes, supports and transports packet-over-SONET (POS) frames. However, it would have been obvious to one skilled in the

Art Unit: 2662

art at the time of the invention to implement POS in the Puntambekar system because doing so would make Puntambekar more versatile by allowing the system to support such a protocol. Also implement an established protocol will reduce the development costs related to having to design an entirely new protocol to use in the Puntambekar system. Note the base claims of claim 33 (claims 31 and 32) are discussed below.

Referring to claims 10 and 11,18, Puntambekar discloses the system discussed above. Puntambekar does not disclose that the first protocol is asynchronous transfer mode (ATM) and the second protocol is Internet Protocol (IP). However, Zheng discloses a system wherein both ATM and IP packets are processed through a switch (see column 2)). It would have been obvious to one skilled in the art at the time of the invention to implement this feature in Puntambekar because doing so would make Puntambekar more flexible in terms of the services it provides.

Referring to claim 19, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses that determining the cell protocol further comprises referencing a lookup table using the ingress connection identifier to determine the cell protocol for the cell (a VC entry array is accessed using the VC value of the received cell (see column 9)), wherein the lookup table stores a protocol indication for a plurality of ingress connection identifiers, wherein the ingress connection identifier for the cell is included in the plurality of ingress connection identifiers (the VC Entry array comprises a plurality of VCI/VPI values and tells the switch whether it is a leaf or root switch according to that value (see columns 9 and 10)).

Referring to claims 31 and 32, the claim limitations correspond to similar limitations as that of claim 1 and therefore these limitations are rejected for the same reasons as claim 1 (see

Art Unit: 2662

the 35 USC 102(e) rejection on claim 1, as discussed above). Puntambekar does not disclose that the transport interface or the TIG is grouped according to a class of service. However, Zheng discloses a system for transporting ATM traffic wherein the ATM connections are given Quality-Of-Service (QOS) requirements that have corresponding parameters (see column 1 lines 33-67)). It would have been obvious to one skilled in the art at the time of the invention to implement the QOS element taught by Zheng in the Puntambekar system because as Zheng point out in column 1 lines 40-49, different types of network traffic require different transmission requirements such as avoiding echoes in voice connections. Thus implementing QOS in Puntambekar would make Puntambekar a more versatile and reliable system.

8. Claims 13-16 and 27, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Puntambekar in view of Smith et al. (USPN 6,128,649), hereafter referred to as Smith.

Referring to claims 13-16 and 27, Puntambekar discloses the system discussed above. Puntambekar does not disclose the switch operates using a multicasting protocol. However, Smith discloses a system wherein IP or ATM multicasting are used for many advantageous reasons. As Smith points out, in column 25 lines 17-67, IP and ATM multicasting provide good conferencing configurations, reduced processing and resource limitations and avoid certain types of congestion. For these reasons it would have been obvious to one skilled in the art at the time of the invention to implement a multicasting feature into Puntambekar.

Art Unit: 2662

9. Claims 20,28 and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Puntambekar in view of Honda and further in view of Zheng.

Referring to claim 20, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses routing the cell through the multi-protocol switch based on the destination address further comprises storing the cell with additional cells included in the packet to which the cell corresponds to produce a assembled packet (the cells of the packet are collected to complete the packet (see column 10)); determining the destination address for the packet from at least one cell included in the packet (the destination address of the reassembled packet is inspected (see column 10)) and forwarding the cells based on the destination address (the combined cells that are now a packet are forwarded on according to the destination address see column 10)). Puntambekar does not disclose segmenting the reassembled packet to produce segmentation cells and forwarding segmentation cells. However, Honda discloses a teaches of a prior art method wherein a packet that has been transported by a plurality of cells is reassembled and then segmented again in order to be forwarded on (see column 1 lines 35-45)). It would have been obvious to one skilled in the art at t he time of the invention to perform such operations in the Puntambekar system because doing so would improve bandwidth efficiency. Namely, since packets vary in length, very long one may starve other smaller packets of the available bandwidth, therefore breaking the packets into smaller fixed sized segments (such as ATM cells) will help improve the bandwidth efficiency.

Referring to claim 28, Puntambekar discloses a multi-protocol switch that supports at least asynchronous transfer mode (ATM) and internet protocol d to (IP) (a multi-protocol switch that supports both IP and ATM (see figure 4)), comprising a plurality of egress line cards,

Art Unit: 2662

wherein each of the plurality of egress line cards supports at least one egress connection (a plurality of ingress cards that support respective connections (see figure 4)), a switching fabric operably coupled to the plurality of egress line cards and an ingress line card operably coupled to the switching fabric (the cards are within a switch (see figure 4)), wherein the ingress line card receives cells over a plurality of ingress connections, wherein each cell includes an ingress connection identifier (the ingress cards receive cells over a plurality of virtual circuits and the cell comprise VCI and VPI values (see figure 4)), the ingress line card forwards the cell across the switching fabric to at least one egress line card of the egress line cards based on the ingress connection identifier for the cell (if the switch is a leaf switch it updates the VPI value of the cell and forwards it on through the switch (see figure 4 and column 9)), the ingress line card at least partially reassembles a packet to which the cell corresponds to produce a reassembled packet (if the switch is a root switch, it reassembles the cell into a packet (see figure 4 and columns 9 and 10)), determines a destination address to which the packet corresponds (the destination address of the complete packet is determined (see column 10)) and forwards the packet across the switching fabric to at least one egress line card of the plurality of egress line cards based on the destination address (the reassembled packet is sent through the switch to be forwarded on to the destination according to the destination address (see figure 4 and column 10)).

Puntambekar does not disclose that the ingress line card segments the reassembled packet to produce segmented cells. However, Honda discloses a teaches of a prior art method wherein a packet that has been transported by a plurality of cells is reassembled and then segmented again in order to be forwarded on (see column 1 lines 35-45)). It would have been obvious to one skilled in the art at the time of the invention to perform such operations in the Puntambekar

Art Unit: 2662

system because doing so would improve bandwidth efficiency. Namely, since packets vary in length, very long one may starve other smaller packets of the available bandwidth, therefore breaking the packets into smaller fixed sized segments (such as ATM cells) will help improve the bandwidth efficiency.

Furthermore, Puntambekar does not disclose that the first protocol is asynchronous transfer mode (ATM) and the second protocol is Internet Protocol (IP). However, Zheng discloses a system wherein both ATM and IP packets are processed through a switch (see column 2)). It would have been obvious to one skilled in the art at the time of the invention to implement this feature in Puntambekar because doing so would make Puntambekar more flexible in terms of the services it provides.

Referring to claim 29, Puntambekar discloses the system discussed above. Furthermore, Puntambekar discloses that frame is transported across a transport interface (inherently, since the Puntambekar does not disclose that the transport interface is selected according a class of service. However, Zheng discloses a system for transporting ATM traffic wherein the ATM connections are given Quality-Of-Service (QOS) requirements that have corresponding parameters (see column 1 lines 33-67)). It would have been obvious to one skilled in the art at the time of the invention to implement the QOS element taught by Zheng in the Puntambekar system because as Zheng point out in column 1 lines 40-49, different types of network traffic require different transmission requirements such as avoiding echoes in voice connections. Thus implementing QOS in Puntambekar would make Puntambekar a more versatile and reliable system.

Art Unit: 2662

10. Claims 21 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Puntambekar in view of Honda and Zheng and further in view of Yang et al. (USPN 5,917,819), hereafter referred to as Yang.

Referring to claims 21 and 30, Puntambekar discloses the system discussed above. Puntambekar does not disclose determining an egress index for the reassembled packet based on the destination address; and using the egress index to forward at least a portion of the reassembled packet to at least one egress connection. However, Yang discloses of an ATM system wherein an output index is determined that is used to based on a destination address of where the cell is to be forwarded on to (see column 5 lines 57-67)). It would have been obvious to one skilled in the art at the time of the invention to implement this feature into Puntambekar because doing so would allow the cell to be properly output from the switch to the correct egress port, thereby making Puntambekar more functional and reliable.

### *Conclusion*

11. The following prior art, which is made of record and not relied upon, is considered pertinent to applicant's disclosure:

- a. U.S. Patent Number 6,535,513 to Kao et al.
- b. U.S. Patent Number 6,356,546 to Beshai et al.
- c. U.S. Patent Number 6,259,699 to Opalka et al.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Odland, who can be reached at (703) 305-3231 on Monday – Friday during the hours of 8am to 5pm.


Art Unit: 2662

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hassan Kizou, can be reached at (703) 305-4744. The fax number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist, who can be reached at (703) 305-4750.

deo

November 14, 2003

  
**JOHN PEZZLO**  
**PRIMARY EXAMINER**